



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
OFFICE OF INSPECTOR GENERAL

77 WEST JACKSON BOULEVARD  
CHICAGO, ILLINOIS 60604

Date: March 13, 2019

Prepared by: Special Agent (b) (6), (b) (7) (C)

Case #: OI-CH-2018-THT-0084

Cross Reference #:

TITLE: (b) (6), (b) (7)(C) GS-15, (b) (6), (b) (7)(C)

CASE CLOSING REPORT

Subject(s)	Location	Other Data
(b) (6), (b) (7)(C)	Cincinnati, OH	

**VIOLATION(s):** (b) (6), (b) (7)(C) made indirect threats towards (b) (6), (b) (7)(C) while at the EPA facility in Cincinnati, Ohio.

**ALLEGATION(s):**

On April 13, 2018, Special Agent (SA) (b) (6), (b) (7) (C) U.S. Environmental Protection Agency (EPA), Office of Inspector General (OIG), Office of Investigations (OI), Chicago Field Office (CFO) received an email from (b) (6), (b) (7)(C) EPA, OIG, OI, CFO regarding a complaint. The complainant reported that (b) (6), (b) (7)(C) was being threatened and intimidated from (b) (6), (b) (7)(C), EPA, (b) (6), (b) (7)(C) Cincinnati, OH.

**FINDINGS:**

(b) (6), (b) (7)(C)

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(b) (6), (b) (7)(C)

Also on April 18, 2018, SA (b) (6), interviewed (b) (6), (b) (7)(C) stated that a few weeks ago (b) (6) walked (b) (6), (b) (7)(C) desk swinging (b) (6), (b) (7)(C) arms and bringing one palm together with (b) (6) fist. (b) (6), (b) (7)(C) demonstrated the movement. (b) (6), (b) (7)(C) had (b) (6) arms extended and was swinging them until the hands came together making a clapping sound. One hand was cupped and the other hand was closed. (b) (6) hands came together at approximately waist level. (b) (6)

(b) (6), (b) (7)(C) stated (b) (6) has a lot of excess energy and it is very common for (b) (6) to have excess movement. (b) (6), (b) (7)(C) stated when (b) (6) did the movement the first time by (b) (6), (b) (7)(C) requested that (b) (6) not do it. (b) (6), (b) (7)(C) said okay. (b) (6), (b) (7)(C) stated recently, on or about March 26, 2018, (b) (6) was walking into the office again swinging (b) (6), (b) (7)(C) arms and bringing them together in the same motion. (b) (6), (b) (7)(C) said (b) (6) immediately stopped when (b) (6) saw (b) (6). (b) (6), (b) (7)(C) stated, "Oh. I forgot. You told me not to do that." (b) (6) again explained that (b) (6) did not like that motion. (b) (6), (b) (7)(C) stated (b) (6) did not mean the motion as intimidating or threatening at all. (b) (6), (b) (7)(C) explained (b) (6) never intended to intimidate or threaten anyone and (b) (6) movements were just a nervous habit. (b) (6)

**DISPOSITION:** Based on information obtained during the investigation, the allegation is not supported. EPA Management was provided a copy of the report for informational purposes. EPA Management completed a 4711 investigation and determined no violation had occurred. No further action is expected in this case; therefore, this case is closed.



**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
OFFICE OF INSPECTOR GENERAL  
1301 CONSTITUTION AVENUE NW  
WASHINGTON, DC 20004**

**DATE:** March 14, 2019

**PREPARED BY:** SA (b) (6), (b) (7)(C)

**CASE #:** OI-HQ-2018-ADM-0039

**CROSS REFERENCE**

**TITLE:** Allegation of Inappropriate Lobbying

**CASE CLOSING REPORT**

Subject(s)	Location	Other Data
NA	Washington, DC	

**VIOLATIONS:**

31 U.S.C. § 1341 – Antideficiency Act

Public Law 115-31 – Consolidated Appropriations Act of 2017

**ALLEGATION:**

On September 26, 2017, the Committee on Energy and Commerce, U.S. House of Representatives requested that the EPA Office of Inspector General (OIG) “develop a comprehensive factual record for instances where possible violations of the Antideficiency Act and the publicity or propaganda and anti-lobbying provisions of the Consolidated Appropriations Act (CSA) of 2017, may have occurred, during an April 2017 meeting between former Administrator Scott Pruitt and the National Mining Association.”

**FINDINGS:**

The OIG’s Office of Investigations conducted seven interviews with members of the National Mining Association and five interviews with EPA personnel who attended the April 2017 meeting. As the purpose of this inquiry was to develop a factual record of said meeting, on March 1, 2019, a written account of the information collected was sent to the Committee on Energy and Commerce, U.S. House of Representatives.

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**DISPOSITION:**

This was a fact-finding matter. The matter was completed and the appropriate documents provided to Congress. No further action is required. As such, this matter is being closed.

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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATIONS

1200 PENNSYLVANIA AVE., NW  
WASHINGTON, DC 20460

DATE: MARCH 29, 2019

PREPARED BY: (b) (6), (b) (7)(C)

CASE #: OI-HQ-2019-CCR-0014

CROSS REFERENCE #:

TITLE: (b) (6), (b) (7)(C), EPA (b) (6), (b) (7)(C)

CASE CLOSING REPORT

Subject(s)	Location	Other Data
(b) (6), (b) (7)(C)	EPA – Potomac Yards Office	(b) (6), (b) (7)(C)

**ALLEGATIONS:** On October 26, 2018, this office received a hotline complaint (2019-0020) from SA (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C) U.S. Environmental Protection Agency (EPA) that (b) (6), (b) (7)(C) who was not authorized to view Confidential Business Information (CBI), attempted to access (b) (6), (b) (7)(C) CBI.

**INVESTIGATIVE FINDINGS/DISPOSITION:** Investigation disclosed (b) (6), (b) (7)(C) did not attempt to gain unauthorized access to (b) (6), (b) (7)(C) CBI as initially suspected. On November 6, 2018, (b) (6), (b) (7)(C) was interviewed and related (b) (6), (b) (7)(C) did not view or attempt to view CBI on (b) (6), (b) (7)(C) computer when (b) (6), (b) (7)(C) provided support to (b) (6), (b) (7)(C) for an IT Support Ticket submitted by (b) (6), (b) (7)(C). (b) (6), (b) (7)(C) further related (b) (6), (b) (7)(C) reported the attempted unauthorized access by (b) (6), (b) (7)(C) as (b) (6), (b) (7)(C) did not know if (b) (6), (b) (7)(C) was authorized to view CBI when questioned by (b) (6), (b) (7)(C). Further, a review of the EPA's Information Technology Services (ITS) End User Task Order disclosed all EPA contractors undergo a background investigation and upon its completion the contractor is given authorization to access sensitive information which includes CBI.

Based on the investigative findings, this case is being closed with no further action.

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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
OFFICE OF INSPECTOR GENERAL

DATE: March 14, 2019

PREPARED BY: (b) (6), (b) (7)(C)

CASE #: OI-NE-2014-ADM-0108

CROSS REFERENCE #:

TITLE: (b) (6), (b) (7)(C), GS-15, (b) (6), (b) (7)(C)

CASE CLOSING REPORT

Subject(s)	Location	Other Data
(b) (6), (b) (7)(C) (b) (6), (b) (7)(C)	(b) (6), (b) (7)(C)	N/A

VIOLATIONS:

18 U.S.C. § 641  
EPA Order 3120.1, Table of Offenses and Penalties

Theft  
(31) Falsifying time and attendance records for oneself

ALLEGATION:

On July 24, 2014, OI received information from (b) (6), (b) (7)(C) New York, NY regarding some issues that (b) (6) wanted to report to the EPA OIG. According to (b) (6), (b) (7)(C) had been harassing (b) (6) over time and attendance issues (b) (6), (b) (7)(C). In addition, (b) (6), (b) (7)(C) alleged that (b) (6), (b) (7)(C) and (b) (6), (b) (7)(C) had been reporting into work late on an almost daily basis for the past few years.

FINDINGS:

Attempts were made to compare time reporting in PeoplePlus with access to the regional office building as well as the EPA workspace for (b) (6), (b) (7)(C) and (b) (6), (b) (7)(C). However, these attempts met with inconclusive results due to the limitations of the information captured by these systems.

(b) (7)(E)

. As a result, a review of these records failed to

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reveal any pattern of activity that would support criminal violations in (b) (6), (b) (7)(C) or (b) (6), (b) (7)(C) reporting of their time and attendance. This case was not presented to U.S. Attorney's Office.

#### **DISPOSITION:**

Based on the information detailed above, the allegation that (b) (6), (b) (7)(C) and (b) (6), (b) (7)(C) were committing time and attendance fraud was inconclusive. Therefore, this case is being closed at this time.